

**DRAFT**  
**RTO West**  
**Stage 2**  
**Planning and Expansion**  
**Detailed Completion Plan**  
**as of 11/28/01**

Responsible Individual – Kristi Wallis

Deliverable – Planning content for filing (see attached task list and outline of the planning section of the filing).

Approach – Work to develop a consensus recommendation on all “must have” aspects of RTO West planning by 12/19/01; true-up to final congestion management and pricing decisions by 1/14/02.

**Proposed Task List and Timeline<sup>1</sup>**

<b>Task No.</b>	<b>Description</b>	<b>Resp Party</b>	<b>Type (Must Have or Desirable) For 3/1</b>	<b>Completion</b>	<b>Comments</b>
PL 1	PLCG Recommendation Regarding Compromise Proposal/Planning Framework	PLCG; KMW	MH	11/23	Complete
PL 2	Filing Utility/RRG Consideration of Compromise Proposal/Planning Framework (Ideally, Reach Consensus)	Filers; RRG; KMW	MH	11/30	Scheduled
PL 3	PLCG Recommendation Regarding Allocation of Costs of Expansion	PLCG; KMW	MH	12/7	Recommend. Close to Final
PL 4	PLCG Recommendation Regarding Incentives to Cause Expansion	PLCG; KMW	MH	12/14	Fair Amount of Discussion has Taken Place; PLCG in Process of Developing Recommend.
PL 5	PLCG Recommendation Regarding Final Details of Planning and Adequacy Backstop Processes (including Right of First Refusal, Claim Jumping, and pre-existing contract provisions relating to planning) <sup>2</sup>	PLCG; KMW	MH	12/14	Recommend. Close to Final

<sup>1</sup> Assumes “Criteria to Maintain Initial Transfer Capability” will be addressed by Congestion Management

<sup>2</sup> Recommendation will be presented both in summary fashion and in a white paper that can provide a foundation for the filing letter

<b>Task No.</b>	<b>Description</b>	<b>Resp Party</b>	<b>Type (Must Have or Desirable) For 3/1</b>	<b>Completion</b>	<b>Comments</b>
PL 6	Legal Input on Section 211 in an “Accept All Schedules World” and Ability of FERC to Pre-Approve Rates	Filers		12/21	Initiate Immediately
PL 7	Filing Utility/RRG Consideration Regarding Allocation, Incentives, Process, Final Details of Planning and Backstop Process (Ideally, Reach Consensus)	Filers; RRG; KMW	MH	12/19	Timing Depends in Part Upon Need of Policy Reps to Focus on Pricing and Congestion Management
PL 8	Consider Impact of Final Congestion Management and Pricing Approach on Planning; Modify Planning Approach, As Appropriate	PLCG; CMCG; PRCG Filers; KMW	MH	1/14	True-Up After Final Congestion Management and Pricing Decisions

***ROUGH DRAFT***  
**Planning Filing Section Outline**

- A. Authority of RTO West – Ultimate Responsibility for Planning and Expansion
- B. Planning Process
  - (1) Goal
  - (2) Scope (RTO West System)
  - (3) Description of Process
    - (a) Pro-Active Process
    - (b) Product – Annual Plan
      - (i) Status of System
      - (ii) Transmission Adequacy Assessments
      - (iii) Congestion Issues (Current/Projected)
      - (iv) Projects
        - (A) Proposed (Transmission Adequacy/Congestion Relief)
        - (B) In Development
  - (4) Participants (All Interested Parties)
    - (a) Role of States
    - (b) Role of FERC
  - (5) Consideration of Non-Transmission Alternatives
- C. Decision-Making With Respect to New Projects/Facilities
  - (1) In First Instance, Market-Driven
    - (a) PTOs Responsible for Ensuring Transmission Adequacy
    - (b) Incentives to Cause Appropriate Commercial Expansion
    - (c) Open Season Subscription Process
    - (d) Ability of “Other Than Transmission Adequacy” Project Sponsor to Go Forward with Project if Mitigates Transfer Capability/Reliability Concerns and Meets Interconnection Requirements
  - (2) RTO Backstop Authority
    - (a) Transmission Adequacy
    - (b) Chronic, Significant Congestion (Can Address Market Failure/No Specifics)
    - (c) Cost Effective Avoidance of Costs That Would Otherwise be Uplifted
  - (3) Allocation (General Principles)
- D. Day One Responsibilities
  - (1) Operational Planning (Responsible from Day One)
  - (2) Long-Term Planning (RTO Evolves – RTO Decides Who Does Planning Studies (assumes Initial Reliance on PTOs))